

# EMPIRE LIFE GUARANTEED INVESTMENT FUNDS (EMPIRE LIFE GIF) APPLICATION FOR A NOMINEE/INTERMEDIARY ACCOUNT

Use this application to apply for the following Empire Life GIF contracts:

**Empire Life Guaranteed Investment Funds 75/75 (Empire Life GIF 75/75)**  
**Empire Life Guaranteed Investment Funds 75/100 (Empire Life GIF 75/100)**  
**Empire Life Guaranteed Investment Funds 100/100 (Empire Life GIF 100/100)**

**Any amount allocated to a segregated fund is invested at the risk of the owner and may increase or decrease in value.**

When you receive your contract confirmation notice, record your contract number here for future reference. Contract number:



## Advisor Checklist

- Owner/annuitant age meets the product guidelines.
- Banking information/void cheque has been provided, if pre-authorized debit requested.
- Investment instructions have been provided.
- Governing pension legislation has been provided, if the funds are locked-in.
- Proof of age has been provided, if the plan is a locked-in retirement income fund.
- A copy of the application, the Information Folder and Contract Provisions, and the Fund Facts has been provided to the owner.

Contracts are issued by:

The Empire Life Insurance Company

Send signed copy to:

Empire Life  
 259 King Street East  
 Kingston ON K7L 3A8

**empire.ca • 1 800 561-1268**



# Empire Life GIF Fund Names and Codes

Purchase Fee Options: Front End (FE) Low Load (LL) No Load (NL) Deferred Sales Charge (DSC)

The minimum initial deposit is \$1,000 for a savings plan and \$10,000 for a retirement income fund.

Empire Life Segregated Fund Options	75/75 (Class K)				75/100 (Class L)				100/100 (Class M)			
	FE	LL	NL	DSC*	FE	LL	NL	DSC*	FE	LL	NL	DSC*
Money Market GIF	11010	11210	11310	11510	12010	12210	12310	12510	13010	13210	13310	13510
Bond GIF	11020	11220	11320	11520	12020	12220	12320	12520	13020	13220	13320	13520
Strategic Corporate Bond GIF	11033	11233	11333	11533	12033	12233	12333	12533	13033	13233	13333	13533
Income GIF	11025	11225	11325	11525	12025	12225	12325	12525	13025	13225	13325	13525
Short Term High Income GIF	11032	11232	11332	11532	12032	12232	12332	12532	13032	13232	13332	13532
Balanced GIF	11035	11235	11335	11535	12035	12235	12335	12535	13035	13235	13335	13535
Monthly Income GIF	11048	11248	11348	11548	12048	12248	12348	12548	13048	13248	13348	13548
Dividend Balanced GIF	11046	11246	11346	11546	12046	12246	12346	12546	13046	13246	13346	13546
Asset Allocation GIF	11040	11240	11340	11540	12040	12240	12340	12540	13040	13240	13340	13540
Global Asset Allocation GIF	11043	11243	11343	11543	12043	12243	12343	12543	13043	13243	13343	13543
Dividend Growth GIF	11045	11245	11345	11545	12045	12245	12345	12545	13045	13245	13345	13545
Canadian Equity GIF	11047	11247	11347	11547	12047	12247	12347	12547	13047	13247	13347	13547
Multi-Strategy Canadian Equity GIF	11049	11249	11349	11549	12049	12249	12349	12549	13049	13249	13349	13549
Elite Equity GIF	11050	11250	11350	11550	12050	12250	12350	12550	13050	13250	13350	13550
Small Cap Equity GIF	11055	11255	11355	11555	12055	12255	12355	12555	13055	13255	13355	13555
American Value GIF	11060	11260	11360	11560	12060	12260	12360	12560	13060	13260	13360	13560
Multi-Strategy US Equity GIF	11061	11261	11361	11561	12061	12261	12361	12561	13061	13261	13361	13561
Multi-Strategy Global Equity GIF	11062	11262	11362	11562	12062	12262	12362	12562	13062	13262	13362	13562
Multi-Strategy Global Growth GIF	11063	11263	11363	11563	12063	12263	12363	12563	13063	13263	13363	13563
Global Equity GIF	11070	11270	11370	11570	12070	12270	12370	12570	13070	13270	13370	13570
Global Sustainable Equity GIF	11071	11271	11371	11571	12071	12271	12371	12571	13071	13271	13371	13571
International Equity GIF	11075	11275	11375	11575	12075	12275	12375	12575	13075	13275	13375	13575
Global Smaller Companies GIF	11072	11272	11372	11572	12072	12272	12372	12572	13072	13272	13372	13572
Global Dividend Growth GIF	11077	11277	11377	11577	12077	12277	12377	12577	13077	13277	13377	13577
Emblem Diversified Income Portfolio GIF	11079	11279	11379	11579	12079	12279	12379	12579	13079	13279	13379	13579
Emblem Conservative Portfolio GIF	11081	11281	11381	11581	12081	12281	12381	12581	13081	13281	13381	13581
Emblem Balanced Portfolio GIF	11083	11283	11383	11583	12083	12283	12383	12583	13083	13283	13383	13583
Emblem Moderate Growth Portfolio GIF	11085	11285	11385	11585	12085	12285	12385	12585	13085	13285	13385	13585
Emblem Growth Portfolio GIF	11087	11287	11387	11587	12087	12287	12387	12587	13087	13287	13387	13587
Emblem Aggressive Growth Portfolio GIF	11089	11289	11389	11589	12089	12289	12389	12589	13089	13289	13389	13589
Emblem Global Conservative Portfolio GIF	11091	11291	11391	11591	12091	12291	12391	12591	13091	13291	13391	13591
Emblem Global Balanced Portfolio GIF	11093	11293	11393	11593	12093	12293	12393	12593	13093	13293	13393	13593
Emblem Global Moderate Growth Portfolio GIF	11095	11295	11395	11595	12095	12295	12395	12595	13095	13295	13395	13595
Emblem Global Aggressive Growth Portfolio GIF	11097	11297	11397	11597	12097	12297	12397	12597	13097	13297	13397	13597
Multi-Strategy Global Conservative Portfolio GIF	11067	11267	11367	11567	12067	12267	12367	12567	13067	13267	13367	13567
Multi-Strategy Global Balanced Portfolio GIF	11068	11268	11368	11568	12068	12268	12368	12568	13068	13268	13368	13568
Multi-Strategy Global Moderate Growth Portfolio GIF	11069	11269	11369	11569	12069	12269	12369	12569	13069	13269	13369	13569
Multi-Strategy Global Growth Balanced Portfolio GIF	11064	11264	11364	11564	12064	12264	12364	12564	13064	13264	13364	13564

**Note: The following purchase fee combinations are permitted within the same contract: (1) FE, NL and DSC; or, (2) NL and LL. No other purchase fee option combinations are allowed.**

**\*Deposits to the contract under the DSC option are not permitted after December 31st of the year the annuitant turns 80 years old.**

# Empire Life GIF Fund Names and Codes

F-Class/Fee for Service (FFS) Purchase Fee Option (F-Class/FFS)

The minimum initial deposit is \$1,000 for a savings plan and \$10,000 for a retirement income fund.

	75/75 (Class U)	75/100 (Class V)	100/100 (Class W)
Empire Life Segregated Fund Options	F-Class/FFS	F-Class/FFS	F-Class/FFS
Money Market GIF	11710	12710	13710
Bond GIF	11720	12720	13720
Strategic Corporate Bond GIF	11733	12733	13733
Income GIF	11725	12725	13725
Short Term High Income GIF	11732	12732	13732
Balanced GIF	11735	12735	13735
Monthly Income GIF	11748	12748	13748
Dividend Balanced GIF	11746	12746	13746
Asset Allocation GIF	11740	12740	13740
Global Asset Allocation GIF	11743	12743	13743
Dividend Growth GIF	11745	12745	13745
Canadian Equity GIF	11747	12747	13747
Multi-Strategy Canadian Equity GIF	11749	12749	13749
Elite Equity GIF	11750	12750	13750
Small Cap Equity GIF	11755	12755	13755
American Value GIF	11760	12760	13760
Multi-Strategy US Equity GIF	11761	12761	13761
Multi-Strategy Global Equity GIF	11762	12762	13762
Multi-Strategy Global Growth GIF	11763	12763	13763
Global Equity GIF	11770	12770	13770
Global Sustainable Equity GIF	11771	12771	13771
International Equity GIF	11775	12775	13775
Global Smaller Companies GIF	11772	12772	13772
Global Dividend Growth GIF	11777	12777	13777
Emblem Diversified Income Portfolio GIF	11779	12779	13779
Emblem Conservative Portfolio GIF	11781	12781	13781
Emblem Balanced Portfolio GIF	11783	12783	13783
Emblem Moderate Growth Portfolio GIF	11785	12785	13785
Emblem Growth Portfolio GIF	11787	12787	13787
Emblem Aggressive Growth Portfolio GIF	11789	12789	13789
Emblem Global Conservative Portfolio GIF	11791	12791	13791
Emblem Global Balanced Portfolio GIF	11793	12793	13793
Emblem Global Moderate Growth Portfolio GIF	11795	12795	13795
Emblem Global Aggressive Growth Portfolio GIF	11797	12797	13797
Multi-Strategy Global Conservative Portfolio GIF	11767	12767	13767
Multi-Strategy Global Balanced Portfolio GIF	11768	12768	13768
Multi-Strategy Global Moderate Growth Portfolio GIF	11769	12769	13769
Multi-Strategy Global Growth Balanced Portfolio GIF	11764	12764	13764

**Note: F-Class/FFS cannot be combined with any other purchase fee option.**



**3.1 Joint Owner**

**Nominee non-registered account types only.**

Joint owners are deemed to be joint owners with right of survivorship, unless we are advised otherwise. In Quebec joint owners who wish to obtain the same legal effect as the right of survivorship must each appoint the other owner as his/her subrogated policyholder.

\*Only complete if the joint owner is the annuitant.

First name	Middle Initial	Last name or legal name of corporation/entity
Address (number, street) (If using a PO Box, also provide your physical address)		
City	Province	Postal code
Sex at birth* <input type="radio"/> Male <input type="radio"/> Female	Date of birth   d   d   -   m   m   m   -   y   y   y   y	SIN
Preferred contact number       -       -		
Name of employer		
Job title		
Occupation		
<b>Where do you reside for tax purposes?</b> (check all that apply)		
<input type="radio"/> Canada <input type="radio"/> U.S. (resident or citizen) – Tax Identification Number (TIN) _____ If you do not have a TIN from the U.S., have you applied for one? <input type="radio"/> yes <input type="radio"/> no		
<input type="radio"/> Other – specify country _____ TIN _____		
<b>If you do not have a TIN, specify the reason:</b>		
<input type="radio"/> I will apply or have applied for a TIN but have not yet received it. <input type="radio"/> My jurisdiction of tax residence does not issue TINs to its residents. <input type="radio"/> Other – specify reason _____		

**3.2 Successor Owner (subrogated policyholder in Quebec)**

**Nominee non-registered account types only.**

If there is no successor owner/subrogated policyholder named, and no surviving joint owner, the annuitant will become the owner. (Not applicable if owner and annuitant are the same person.)

First name	Middle Initial	Last name or legal name of corporation/entity
Date of birth   d   d   -   m   m   m   -   y   y   y   y		
<b>Quebec only:</b> <input type="radio"/> As <b>beneficial owner</b> , I hereby appoint the joint owner as my subrogated policyholder. <input type="radio"/> As <b>joint owner</b> , I hereby appoint the beneficial owner as my subrogated policyholder.		

**3.3 Annuitant**

**Nominee non-registered account types only.**

**Must be completed if the annuitant is NOT the beneficial owner or joint owner.**

First name	Middle Initial	Last name
Address (number, street) (If using a PO Box, also provide your physical address)		
City	Province	Postal code
Sex at birth <input type="radio"/> Male <input type="radio"/> Female	Date of birth   d   d   -   m   m   m   -   y   y   y   y	Preferred contact number       -       -
Relationship to owner(s)		

**3.4 Successor Annuitant**

**Nominee non-registered account types only.**

Upon the death of the annuitant, the successor annuitant will automatically become the annuitant and the contract will continue with no death benefit payable at that time.

First name	Middle Initial	Last name
Relationship to owner(s)		

**4.0 Maturity Date**

**Complete only if applying for Empire Life GIF 100/100.**

The maturity date must be at least 15 years from the deposit date. If no maturity date is provided, the default will be December 31st of the year the annuitant turns 105 years old. **Deposits made with less than 15 years to the maturity date will receive a 75% maturity benefit guarantee.**

15 years  Other please specify: | d | d | - | m | m | m | - | y | y | y | y |



