# What is your investor profile?

### Please answer the following question sincerely. There are no «good» or «wrong» answers.

#### 1. In how many years do you want to retire?

- A I'm already retired
- **B** 0 to 4 years
- **c** 5 to 9 years
- **D** 10 to 20 years
- E More than 20 years

#### 2. What is your gross annual income (before taxes)?

- A Less than \$25,000
- **B** \$25,000 to \$44,999
- **c** \$45,000 to \$69,999
- **D** \$70,000 to \$99,999
- **E** \$100,000 or more
- 3. What is your approximate net worth, defined as your total assets (including your residence) less your total liabilities (including your mortgage)?
  - A Less than \$25,000
  - **B** \$25,000 to \$44,999
  - **c** \$45.000 to \$69.999
  - **D** \$70,000 to \$99,999
  - **E** \$100.000 or more

#### 4. What percentage of your gross annual income do you save?

- A Moins de 5%
- **B** 5%à10%
- **C** 11% à 15%
- **D** 16% à 20%
- E 21% et plus

#### 5. When do you plan on needing the money you are investing?

- A Less than a year
- **B** 1 to 3 years
- **c** 4 to 6 years
- **D** 7 to 9 years
- E 10 years or more

#### 6. What is your main investment goal?

- A Keeping your investments secure
- B Maintaining certain stability
- **c** Seeing your investments grow
- **D** Seeing your investments grow significantly
- E Obtain maximum long-term growth
- 7. What your reaction be if you noticed that the value of your investments dropped from \$10,000 to \$9,000\$, meaning a 10% loss?
  - **A** You would never invest in any product where the capital is not guaranteed
  - **B** You would immediately sell your investments
  - You would wait a while before making a decision С
  - **D** You would not change anything and maintain your investment strategy
  - E You would invest in additional funds

#### 8. What is your level of knowledge with regards to investments?

- A Poor
- **B** Limited
- **c** Moderate
- **D** Good
- E Very good

#### 9. To what category do you belong to?

- **A** A retired single person (or a retired couple)
- **B** A single person (or a couple) whose children left home
- **C** A single person (or a couple) with dependent children with the youngest aged 7 years or older
- **D** A single person (or a couple) with dependent children with the youngest aged 6 years or younger
- **E** A single person (or a couple) without children

#### **9 TO 24 POINTS**

### conservative

Your investment horizon is short or you prefer to simply take fewer risks. Security is your biggest concern.

#### **25 TO 34 POINTS**

### moderate

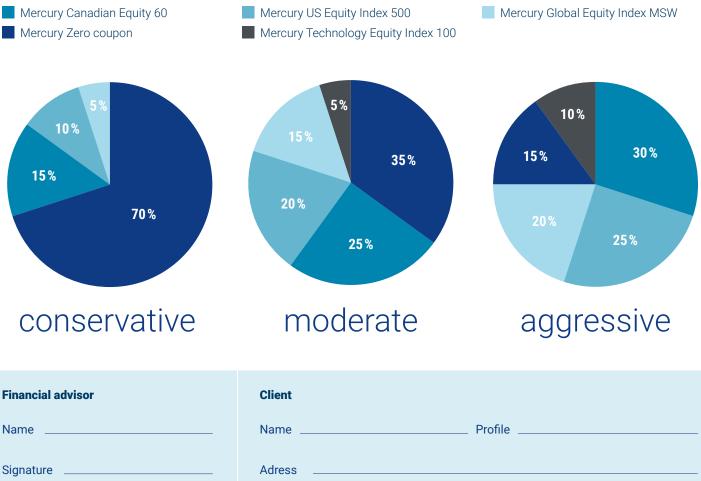
Your investment horizon is long enough to make sure that you get a balance between growth and security.

#### **35 TO 45 POINTS**

## aggressive

Your main concern is seeking the best long-term return above all. Your are able to accept major fluctuations in your short-term portfolio.

## **Example of portfolios** of Mercury Funds



Date \_\_\_\_\_

 Adress	
 Tel. (home)	_ Tel. (work)
Email	_ Signature

What Is Your Investor Profile? is a tool to assist you and determining your risk tolerance. However, it is not intended to replace a comprehensive analysis of your financial situation. UL Mutual is not liable for damages that may result from the use of this questionnaire. Any amount allocated to a segregated fund is invested at the risk of the contractholder and may increase or decrease in value.